

03C0 #3

Attorney Docket No. YOR920010442US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): D.I. Seidman et al.  
Docket No.: YOR920010442US1  
Serial No.: 10/034,222  
Filing Date: December 28, 2001  
Group: To be assigned  
Examiner: To be assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature:

*Christine A. Glaser*

Date: February 4, 2002

Title: Methods and Apparatus for Controlling Interactive  
Television Information and Commerce Services

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following document listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

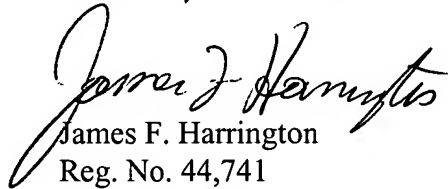
**U.S. Patent Documents**

1. U.S. Patent No. 5,371,852 issued on 12/6/94 to Attanasio et al.
2. U.S. Patent No. 5,515,098 issued on 5/7/96 to Carles
3. U.S. Patent No. 5,671,279 issued on 9/23/97 to Elgamal
4. U.S. Patent No. 5,805,798 issued on 9/8/98 to Kearns et al.
5. U.S. Patent No. 5,872,589 issued on 2/16/99 to Morales
6. U.S. Patent No. 5,903,878 issued on 5/11/99 to Talati et al.
7. U.S. Patent No. 5,970,475 issued on 10/19/99 to Barnes et al.
8. U.S. Patent No. 6,026,369 issued on 2/15/00 to Capek
9. U.S. Patent No. 6,038,548 issued on 3/14/00 to Kamil
10. U.S. Patent No. 6,092,053 issued on 7/18/00 to Boesch et al.
11. U.S. Patent No. 6,094,677 issued on 7/25/00 to Capek et al.
12. U.S. Patent No. 6,112,192 issued on 8/29/00 to Capek
13. U.S. Patent No. 6,308,327 issued on 10/23/01 to Liu et al.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



Date: February 4, 2002

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**FORM PTO-1449 (MODIFIED)****LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

Applicant(s): D.I. Seidman et al.  
Docket No.: YOR920010442US1  
Serial No.: 10/034,222  
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Group: Unassigned

**U.S. PATENT DOCUMENTS**

EXAMINER		DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE
INITIAL						IF APPROPRIATE
___		5,371,852	12/6/94	Attanasio et al.		
___		5,515,098	5/7/96	Carles		
___		5,671,279	9/23/97	Elgamal		
___		5,805,798	9/8/98	Kearns et al.		
___		5,872,589	2/16/99	Morales		
___		5,903,878	5/11/99	Talati et al.		
___		5,970,475	10/19/99	Barnes et al.		
___		6,026,369	2/15/00	Capek		
___		6,038,548	3/14/00	Kamil		
___		6,092,053	7/18/00	Boesch et al.		
___		6,094,677	7/25/00	Capek et al.		
___		6,112,192	8/29/00	Capek		
___		6,308,327	10/23/01	Liu et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER		DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION	
INITIAL						YES	NO

**OTHER DOCUMENTS**

EXAMINER		REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
INITIAL			

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.